

## METHOD OF ENCODING A SIGNAL INTO A BIT STREAM

### Field of the invention

The invention relates to a method of encoding a signal, said signal comprising blocks of values, into a bit stream. The invention also relates to a video encoder using such an encoding method. The invention also relates to a method of decoding such a bit stream. The invention also relates to a video decoder implementing such a decoding method. The invention finally relates to a video trans-coder for trans-coding a first bit stream into such a bit stream.

The invention is particularly relevant in the domain of compression, transmission and storage of video for multimedia systems.

### Domain of the invention

Patent Application published under number WO01/17268A1 discloses a method of and a device for coding a signal, for instance a sequence of images, to obtain a scalable bit stream. The signal comprises blocks of values. Each block is represented as a sequence of bit planes and the values are scanned and transmitted in an order of decreasing bit plane significance. For each bit plane, scanning and transmitting are performed in a rectangular scan zone starting from a corner of the block. The produced bit stream is quantized to a desired bit rate by simply truncating the bit stream at a desired position.

A drawback of this method is that bit planes cannot be efficiently compressed using entropy codes like Run-Length Codes and Variable Length Codes, because they are not correlated enough. Consequently compression efficiency is obtained by transmitting the most significant values of the blocks first and by introducing hierarchical dependencies between values. This means that a decoder receiving the bit stream must take into account said hierarchical dependencies, which increases encoding and decoding delays. Moreover, since statistic of one and zeros within bit planes is random, entropy coding does not provide an efficient compression and large Look Up Tables (LUT) are needed. Consequently, a large memory capacity is required in order to store said LUTs. Another point is that due to hierarchical dependencies between values, the scanning order of the block values is no more known in advance by a decoder receiving the bit stream and parallel processing cannot be easily implemented.

Therefore, the decoding process is complex and costly and it cannot be easily accelerated.

### Summary of the invention

5 An object of the invention is to provide a method of encoding a signal to obtain a bit stream, which can be decoded in a simpler, quicker and cheaper way.

This is achieved with a method of encoding a signal into a bit stream, said signal comprising blocks of values, said method comprising the steps of:

- 10 – applying a transformation to a block of values in order to get a transformed block, said transformed block comprising a number of coefficients, said number being greater than one,
- scanning the coefficients of said transformed block according to a coefficient scanning order,
- 15 – splitting a scanned coefficient into K groups of bits numbered from 1 to K, such that at least a group of bits comprises at least 2 bits and such that said scanned coefficient is the concatenation of the K groups of bits,
- entropy coding a  $k^{\text{th}}$  group of bits using entropy codes into a  $k^{\text{th}}$  entropy coded group of bits,
- 20 – forming a block bit stream from the K entropy coded groups of bits of the scanned coefficients of the transformed block, said output bit stream comprising said block bit stream.

25 An advantage of dividing a scanned coefficient into a number of groups of bits, said groups of bits generally comprising 2 or 3 bits, and of entropy encoding said groups of bits independently from each other, is that short entropy codes are needed. Another advantage is that less entropy codes are used. Consequently, not only the memory capacity needed for entropy codes Look Up Table (LUT), but also the number of memory accesses are reduced.

30 An advantage of forming groups of bits or bit planes compared with isolated bit planes, is that a correlation exists within the groups of bits. Consequently, entropy encoding achieves good compression efficiency and no reordering of the groups of bits is needed. Therefore, encoding and decoding operations are simply achieved in the scanning order, which is known in advance by any encoder or decoder.

Moreover the K groups of bits are independent from each other and entropy coding can be achieved in parallel, which allows accelerating the encoding process.

Consequently the method in accordance with the invention is simpler, cheaper and quicker.

The invention also relates to a method of decoding such an output bit stream.

5 In a first embodiment of the invention, the K entropy coded groups of bits of the scanned coefficient are grouped together to form an entropy coded coefficient and said block bit stream comprises a concatenation of said entropy coded coefficients. An advantage of said first embodiment of the invention is to be very simple.

10 In a second embodiment of the invention, said block bit stream comprises K entropy coded block layers, a  $k^{\text{th}}$  entropy code block layer comprising the  $k^{\text{th}}$  entropy coded groups of bits of the I scanned coefficients of the transformed block. The block bit stream is divided into K entropy coded block layers, which may be entropy decoded independently from each other. It is also possible not to decode all the entropy coded block layers, provided that the  
15 not decoded entropy coded block layers consist of less significant bits. An advantage of said second embodiment is therefore that it provides a Signal to Noise Ratio (SNR) scalability with K quality levels. No fine grain scalability is obtained, as it is the case with bit plane compression methods. An advantage of the second embodiment of the invention is to provide a trade-off between fine grain scalability and implementation costs.

20 The invention also relates to a video encoder, a video decoder and a video transcoder.

The invention is especially applicable in the field of low-cost, hardware video compression.

### **Brief description of the drawings**

25 The invention will be further described with reference to the accompanying drawings:

- Fig. 1a shows a flow chart diagram of the method of encoding a signal in accordance with a first embodiment of the invention,
- Fig. 1b describes a possible structure of the output bit stream in accordance with a first embodiment of the invention,
- 30 - Fig. 2 shows the step of splitting the coefficients of a transformed block into a plurality of groups of bits in accordance with the invention,
- Fig. 3 shows a flow chart diagram of a decoding method in accordance with a first embodiment of the invention,

- Fig. 4a shows a flow chart diagram of the method of encoding a signal in accordance with a second embodiment of the invention,
- Fig. 4b describes a possible structure of the output bit stream in accordance with a second embodiment of the invention,
- 5 - Fig. 5 shows a flow chart diagram of a decoding method in accordance with a second embodiment of the invention,
- Fig. 6 describes in a functional way a video encoder in accordance with the second embodiment of the invention,
- Fig. 7 describes in a functional way a video decoder in accordance with the second  
10 embodiment of the invention,
- Fig. 8 describes in a functional way a video trans-coder in accordance with the second embodiment of the invention.

### Detailed description of the invention

15 In the following, the method in accordance with the invention applies to a video signal comprising a sequence of images and implements an MPEG-like video compression scheme.

Fig. 1a presents a flow chart diagram of the method in accordance with the invention. A block of values of a signal IS, said block of values comprising 8x8 pixel values is  
20 transformed using a transformation 1, for instance the well-known Discrete Cosinus Transform (DCT). A transformed block TB is obtained. Said transformed block comprises I coefficients  $C_i$ , where I is an integer greater than one and i is an integer included into the interval [1, I]. Said coefficients  $C_i$  are scanned by a scanning step 2. The step 2 for instance achieves a zig-zag scanning of the coefficients  $C_i$  of the transformed block, well known to  
25 those skilled in the art, is performed.

The method in accordance with the invention further comprises a step 3 of splitting a coefficient  $C_i$  into K groups of bits, where K is an integer greater than one. Said K groups of bits are chosen such that at least one group of bits comprises at least 2 bits and such that said coefficient  $C_i$  is obtained by concatenating the K groups of bits. In other words, K groups of  
30 contiguous bits are formed within the coefficient  $C_i$ .

In the particular case of an MPEG-like video compression scheme, the coefficient  $C_i$  comprises 11 bits and the step 3 splits the coefficient  $C_i$  into 4 groups of bits, which are for instance: a first group  $C_{i,1}$  of 3 most significant bits, a second group  $C_{i,2}$  of 3 bits, a third group  $C_{i,3}$  of 3 bits and a fourth group  $C_{i,4}$  of 2 less significant bits.

The method in accordance with the invention further comprises a step 4 of encoding the K groups of bits using entropy codes. Said K groups of bits are entropy coded independently from each other. Said entropy codes are for instance Variable Length Codes (VLC). K entropy coded groups of bits  $EC_{i,1}$  to  $EC_{i,K}$  are obtained. The step 4 achieves a layered entropy coding of the coefficients  $C_1$  to  $C_I$ .

Said K entropy coded groups of bits are put into a block bit stream BBS by a forming step 5.

An output bit stream BS is finally formed from the block bit streams of the blocks of values included into the input signal.

Fig.2 describes the splitting step 3 of a DCT block 10 into a split block 11 in accordance with the first embodiment of the invention. Said DCT block 10 is represented as a rectangular parallelepiped having a width BW of 8 coefficients, a length BL of 8 coefficients and a depth D of 11 bit planes  $BP_1$  to  $BP_{11}$ . The first coefficient  $C_1$ , also called Direct Component coefficient, represents an average value of the signal. The other coefficients  $C_2$  to  $C_{64}$  are frequency components of the signal. The step 3 splits a coefficient  $C_i$  into four groups of bits  $C_{i,1}$ ,  $C_{i,2}$ ,  $C_{i,3}$ ,  $C_{i,4}$ . Referring to Fig. 2, for the coefficient  $C_{64}$ , first group of bits  $C_{64,1}$  comprises 3 bits, which are the three Most Significant Bits (MSB), second group of bits  $C_{64,2}$  comprises 3 bits, third group of bits  $C_{64,3}$  comprises 3 bits and fourth group of bits  $C_{64,4}$  comprises 2 Least Significant Bits (LSB).

Step 4 encodes a  $k^{\text{th}}$  group of bits  $C_{i,k}$  using entropy codes like VLCs into entropy coded groups of bits  $EC_{i,k}$ . A Look Up Table (LUT) is used, which takes into account some statistics of the block bit stream BBS, for instance related to the type of blocks or the type of frame, the blocks come from.

It should be noted that a VLC LUT of a conventional MPEG-like coder can be used.

A  $k^{\text{th}}$  group of bit, where k is an integer included into the range  $[1, K]$ , consisting of 3 bit planes, can be encoded by a Huffman variable length coder using a LUT comprising at least height words. As a matter of fact, there  $2^3 = 8$  possible words with a 3 bit length. Therefore, for lossless coding of complete 11 bit coefficients  $C_i$  using VLC LUTs,  $8+8+8+4 = 28$  words in total are needed.

It should be noted that conventional Huffman variable length coding of 11 bit DCT coefficients requires a LUT comprising  $2^{11}=2048$  words. Consequently, only a small part of a standard VLC LUT is effectively used. An advantage of the method according to the invention is therefore to allow using, storing and accessing much shorter LUTs.

It should also be noted that conventional Huffman coding of 11-bit length DCT coefficients provides words with a maximum length of  $2^{11}-1=2047$  bits. In the first embodiment of the invention, the maximum length of a coefficient of a block bit stream using 3 bit planes is  $2^3-1=7$  bits and the maximum length of a coefficient of a block bit stream using 2 bit planes is  $2^2-1=3$  bits. The 11-bit length DCT coefficient therefore maybe encoded using only  $7+7+7+3 = 24$  bits.

Transformed coefficients may have positive or negative values. Therefore the MSB group of bits usually includes a sign bit. In this case said sign bit is encoded in the same way as magnitude bits. However, it should be noted that sign bits may also be encoded independently from magnitude bits.

In conventional MPEG-like coders, an End of Block (EOB) symbol is inserted into the bit stream just after the last non-zero coefficient, in order to indicate that all subsequent coefficients in the scanning order are zeros. With the invention, a DCT block is divided into a plurality of block layers, also called bit-plane layers. Consequently, MSB layers have smaller numbers of non-zero coefficients, thus EOB symbol for this layer is inserted earlier in the bit stream, than it would have been if complete original DCT coefficients are scanned. Therefore, less zero coefficients are transmitted and compression efficiency is improved.

It has been mentioned above that the method of encoding a signal in accordance with the invention could use a fraction of the LUT of a conventional coder. It should be noted that a specific reduced size LUT may also be designed. Such a LUT may include statistics of previously encoded blocks of values. For example, if a neighboring DCT block only comprises DCT coefficients with small values, then the probability that the current block also comprises small values is high. This information may be used in the following ways:

- the size of the layer with most significant bits is increased from 3 bits to 4 or 5 bits. In this case a longer run of zeros in this layer will be encoded more efficiently,
- the LUT is reconstructed by allocating short code words to values with small magnitude (they have higher probability), and longer code words to values with big magnitude, because their probability is low.

Another kind of LUT may be specifically designed, which depends on statistics of previously encoded higher significant layers, which belong to the same DCT block. If higher significant layer comprises a lot of zeros, then the probability that lower significant layer also includes a long runs of zeros is high.

No quantization of the coefficients is required as it is the case for conventional MPEG-like coders. It is an advantage in terms of simplification of the encoding process. Moreover, no quantization parameter needs to be included into the bit stream. However a  
5 quantization step may be added to the encoding method in accordance with the invention in order to reduce the number of bit planes to be encoded.

Instead of introducing a quantization step of the coefficients of a transformed block, it is also possible to bit shift certain coefficients depending on their location in the DCT block. For instance, coefficients, which are considered as strongly contributing to perceptual quality  
10 of the decoded signal, are bit shifted in order to shift non-zero values to their MSB groups of bits. In this way, they will contribute to the decoded signal even if only the first entropy coded block bit stream is decoded.

In a first embodiment of the invention, the step 5 of forming the block bit stream BBS  
15 consists in grouping together the K entropy coded groups of bits of the scanned coefficient  $C_i$  into an entropy coded coefficient  $EC_i$  and in forming said block bit stream as a concatenation of said entropy coded coefficients. Fig. 1b describes a possible structure of the obtained block bit stream BBS. An encoded coefficient  $EC_i$  is formed by concatenating the K entropy coded groups of bits  $EC_{i,1}$  to  $EC_{i,K}$ . The output bit stream BS is very similar to a conventional bit  
20 stream.

Fig. 3 presents a flow chart diagram of a decoding method in accordance with a first embodiment of the invention. A bit stream BS is received, which comprises a block bit stream BBS. Said block bit stream is entropy decoded by a step 12 of layered entropy decoding, which comprises a plurality of parallel entropy decoding sub steps. As a matter of  
25 fact, the block bit stream BBS in accordance with the first embodiment of the invention comprises entropy coded groups of bits  $EC_{i,1}$  to  $EC_{i,K}$ , which can be decoded independently and in parallel. Entropy decoded groups of bits  $DC_{i,1}$  to  $DC_{i,K}$  are output, which are grouped by a grouping step 13 into a decoded coefficient  $DC_i$ . An inverse scanning step 14 then allows forming a transformed block DTB from I decoded coefficients  $DC_1$  to  $DC_I$ . Said transformed  
30 block DTB is further inversely transformed by an inverse transformation step 15 into a decoded block of values DBV. Steps of layered entropy decoding, grouping, inverse scanning and inverse transformation are repeated for all the groups of bits forming the received bit stream BS, in order to supply a decoded signal DS, for instance a decoded image, comprising decoded blocks of values DBV.

An advantage of this first embodiment of the invention is to simplify encoding and decoding processes. As a matter of fact, reduced size LUTs are used by the layered entropy coding and decoding steps 4 and 12, which enables to limit the amount of stored data and the number of memory accesses. Besides, layered entropy encoding and decoding may be easily  
 5 parallelized. Since only one entropy coded block bit stream is issued, this second embodiment is intended to non-scalable applications, where memory and time savings are a crucial point, like portable low-cost applications.

Fig. 4a presents a flow chart diagram of an encoding method in accordance with a  
 10 second embodiment of the invention. Compared with the first embodiment of the invention, the step 5 is replaced by a step 6 of forming a block bit stream BBS consisting in K entropy coded block layers  $EBL_1$  to  $EBL_K$ , a  $k^{th}$  entropy coded block layer  $EBL_k$  comprising the  $k^{th}$  entropy coded groups of bits  $EC_{1,k}$  to  $EC_{I,k}$  of the I scanned coefficients of the transformed block (TB). Fig. 4b describes a possible structure of the entropy coded block layers  $EBL_1$  to  
 15  $EBL_K$  forming the block bit stream BBS. The first entropy coded block layer  $EBL_1$  comprises the entropy coded MSB groups of bits of the I coefficients of the transformed block TB. Said first block layer  $EBL_1$  constitutes a base block layer, which can be decoded independently from the other block layers and provides a first level of quality of the input signal. The  $k^{th}$  entropy coded block layer  $EBL_k$  comprises the  $k^{th}$  entropy coded groups of bits of the I  
 20 coefficients of the transformed block TB. Said  $k^{th}$  block layer  $EBL_k$  constitutes a  $k^{th}$  quality level of the input signal. Consequently, the second embodiment in accordance with the invention provides a Signal To Noise Ratio scalability for a block of values of an input signal.

It should be noted that there are several ways of building the output bit stream BS  
 25 from the block bit streams BBS. In a third embodiment of the invention, illustrated by Fig. 4b, the output block bit stream BS comprises a plurality K of encoded layers  $L_1$  to  $L_K$ . Such an encoded layer  $L_k$  is formed by concatenating the entropy coded block layers  $EBL_k$  corresponding to the consecutive blocks of values of the input signal IS. Consequently the first encoded layers  $L_1$  comprises the first encoded groups of bits of the blocks of values of  
 30 the input signal IS. Said first encoded layer  $L_1$ , which can be decoded independently from the other encoded layers  $L_2$  to  $L_K$  constitutes a base layer and provides a decoded signal DS with a first or basic level of quality. The  $L_K$  encoded layer is intended to improve the SNR quality level of the decoded signal obtained from the k-1 first layers  $L_1$  to  $L_{k-1}$ .



An alternative way of building the output bit stream BS is to form block bit streams BBS by concatenating the entropy coded block layers  $EBL_1$  to  $EBL_K$  of blocks of values BV and to concatenate these block bit streams BBS.

Fig. 5 presents a flow chart diagram of a decoding method in accordance with the second embodiment of the invention. A plurality of entropy coded block layers  $EBL_1$  to  $EBL_M$ , where M is an integer lower than K, are received by a step 12 of layered entropy decoding. Entropy decoded groups of bits  $DC_{1,m}$  to  $DC_{I,m}$  are output for a block layer  $EBL_m$ , where m is an integer included into the range [1,M]. A decoded coefficient is then formed by a grouping step 16, which groups the M decoded groups of bits  $DC_{i,1}$  to  $DC_{i,M}$  corresponding to a decoded coefficient  $EC_i$ . An inverse scanning step 14 reorders the I decoded coefficients to form a decoded transformed block DTB. Said decoded transformed block is further inversely transformed by an inverse transformation step 5 into a decoded block of values BV. Steps 12 of layered entropy decoding, 16 of grouping the entropy decoded groups of bits, 14 of inverse scanning and 15 of inverse transformation are repeated for all the entropy coded groups of bits of the received entropy coded block layers. Decoded block of values are output which form a decoded signal. Said decoded signal DS has a SNR quality level, which depends on the amount M of received entropy coded block layers.

An advantage of the second embodiment of the invention is to provide a layered SNR scalability combined with a simplification of the encoding and decoding processes.

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Fig. 6 shows a schematic block diagram of a SNR scalable video encoder according to the second embodiment of the invention. Such a SNR scalable video encoder aims at encoding an input video signal comprising a sequence of frames, a frame comprising blocks of values BV, and to output an output bit stream BS. A block of values BV is transformed into a transformed block TB by transformation means 21 applying for instance a DCT transform. Said transformed block TB comprises I coefficients  $C_1$  to  $C_I$ , which are scanned by scanning means 22 and split into K groups of bits by split means 23. Said K groups of bits are further VLC encoded into K VLC coded groups of bits  $EC_{i,1}$  to  $EC_{i,K}$  by VLC means 24. A layered block bit stream is formed by forming means 25 from said K VLC coded groups of bits  $EC_{i,1}$  to  $EC_{i,K}$ . Said block bit stream comprises K encoded block layers  $EBL_1$  to  $EBL_K$ . Such an encoding process is repeated for each block of values BV and the consecutive block bit streams contribute to form the output bit stream BS.

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The video encoder of Fig. 6 comprises a motion estimation and compensation module 26, as MPEG-like encoders usually do. The motion estimation and compensation (ME/MC)

module 26 firstly matches the block of values BV, which belongs to a current frame of the input video sequence with a block, referred to as the best match block of a previous or next frame, called reference frame, in accordance with similarity criteria. The ME/MC module 26 then calculates a displacement between the current block of values and the best match block.

5 A motion vector is obtained, which has to be inserted into one of block layers, preferably  $EBL_1$ . A matching error block MEB is calculated by subtracting the best match block BMB to the current block of values BV using a subtraction operator 20. Said matching error block MEB is handled by the transformation module 21 instead of the input block of values BV. Such an encoding scheme is called an inter-frame encoding scheme, which consists in  
10 encoding a current frame differentially with respect to a previously encoded frame. Said inter-frame encoding scheme has proven to bring up improved compression efficiency compared with intra-frame encoding schemes, which encode each frame independently without exploiting redundancy between subsequent frames of a video signal. It should be noted that the invention is not limited to motion compensated video encoders, but relates to  
15 any block-based video encoder.

Since said best match block has already been processed by the video encoder, it is no more available as a block of values. It is therefore provided by an inverse transformation module 27, which reconstructs the best match block from its LSB coefficients  $C_{1,1}$  to  $C_{L,1}$  from DCT coefficients of a reference frame stored in a memory 28. It should be noted that  
20 only the MSB coefficients are used to reconstruct the best match block, because in a SNR scalable scheme, it is not possible to know in advance which layers the decoder will effectively receive. Consequently, in order to avoid introducing a drift error in the decoder, motion compensation is made using only the first, also called base, entropy coded block layer  $EBL_1$ , which corresponds to the part of the SNR scalable bit stream that a decoder will at  
25 least receive.

It should be noted that motion vectors related to a block of value are included into the corresponding first block layer  $EBL_1$ .

Fig. 7 shows a schematic block diagram of a SNR scalable video decoder according to  
30 the second embodiment of the invention. Some entropy coded block layers  $EBL_1$  to  $EBL_M$ , where M is an integer not greater than K, are received at the decoder side. Said entropy coded block layers are firstly Variable Length Decoded (VLD) by VLD means 30 in order to provide M decoded block layers  $DBL_1$  to  $DBL_M$ . As already mentioned above when

describing Fig. 3, said VLD means 30 comprise  $K$   $VLD_k$  sub means, which can be implemented by parallel processors.

A decoded block layers  $DBL_m$ , with  $m$  included into the range  $[1, M]$ , comprises a concatenation of  $m^{\text{th}}$  groups of bits, each  $m^{\text{th}}$  group of bits belonging to a decoded coefficient  $DC_{i,m}$  of a transformed block TB. The decoder comprises Grouping means 31 for putting together the groups of bits  $DC_{i,1}$  to  $DC_{i,M}$  corresponding to a coefficient  $DC_i$ . Inverse scanning means 32 reorder the coefficients  $DC_1$  to  $DC_I$  in order to form a decoded transform block. Said decoded transformed block DTB is a priori not similar to the transformed block TB obtained at the encoder side, because all the entropy coded block layers  $EBL_1$  to  $EBL_K$  of the SNR scalable bit stream BBS output by the video encoder may not have been all transmitted to the video decoder.

The coefficients  $DC_i$  of the decoded transformed block DTB are inversely transformed by inverse transformation means 33 in order to provide a decoded error block DEB. Decoded motion vectors DMV are used by motion compensation means 34 to reconstruct a decoded block of values DBV from the decoded error block DEB and a previously decoded reference block DRB stored in a memory 35.

A decoded video signal DVS is obtained with a visual quality proportional to the amount of the SNR scalable bit stream, which has been decoded.

Fig. 8 shows a schematic block diagram of a SNR scalable video trans-coder according to the second embodiment of the invention. Such a trans-coder aims at decoding an input non-scalable block bit stream BBS and at converting said non-scalable block bit stream NSBBS into a plurality of entropy coded block layers  $EBL_1$  to  $EBL_K$ . Said trans-coder comprises VLD means 40 for decoding the VLC codes of the input block bit stream  $BBS_1$ . Decoded coefficients are obtained, which are inversely scanned by inverse scanning means 41 to form a decoded transformed blocks  $DTB'$ . Said decoded transformed block is inversely transformed by inverse transformation means 42 into a decoded error block  $DEB'$ . Said decoded error block is summed to a previously decoded reference block  $DRB'$  using decoded motion vectors  $DMV'$ . A decoded block of values  $DBV'$  is obtained, which is further encoded using a SNR scalable encoder similar as the one presented in Fig. 6.  $K$  entropy encoded block layers  $EBL_1$  to  $EBL_K$  are obtained.

It should be noted that the above-mentioned embodiments illustrate rather than limit the invention, and that those skilled in the art will be able to design many alternative embodiments without departing from the scope of the appended claims. In the claims, any reference signs placed between parentheses shall not be construed as limiting the claims. The word “comprising” does not exclude the presence of elements or steps other than those listed in a claim. The word “a” or “an” preceding an element does not exclude the presence of a plurality of such elements. The mere fact that certain measures are recited in mutually different dependent claims does not indicate that a combination of these measures cannot be used to advantage.